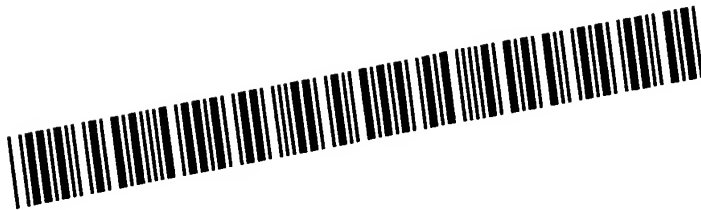


NEW APPLICATION DOCUMENT INDEX SHEET



☐ TRNA _____
Transmittal New Application

☐ EARLYPUB _____
Request for Early Publication

☐ SPEC _____
Specification

☐ CLM _____
Claims

☐ ABST _____
Abstract

☐ DRW _____
Drawings

☐ OATH _____
Oath or Declaration

☐ A.PE _____
Preliminary Amendment

☐ REM _____
Remarks in Amendment

☐ ADS _____
Application Data Sheet

☐ IDS _____
IDS Including 1449

☐ 371P _____
PCT Papers in a 371P Application

☐ FOR _____
Foreign Reference

☐ NPL _____
Non-Patent Literature

☐ FRPR _____
Foreign Priority Papers

☐ ARTIFACT _____
Artifact

☐ LET _____
Misc. Incoming Letter

☐ IMIS _____
Misc. Internal Document

☐ ROCKET _____
Request for Expedite (Rocket Docket)

☐ TRREISS _____
Transmittal New Reissue Application

☐ PRO TRANS _____
Translation of Provisional in Nonprovisional

☐ BIB _____
Bib Data Sheet

☐ WCLM _____
Claim Worksheet

☐ WFEE _____
Fee Worksheet

☐ APPENDIX _____
Appendix

☐ COMPUTER _____
Computer Program Listing

☐ SPEC NO _____
Specification Not in English

☐ N417 _____
Copy of EFS Receipt Acknowledgement

☐ CRFL _____
Computer Readable Form Transfer Request Filed

☐ CRFS _____
Computer Readable Form Statement

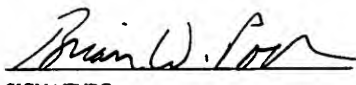
☐ SEQLIST _____
Sequence Listing

☐ SIR. _____
SIR Request

☐ AF/D _____
Affidavit or Exhibit Received

☐ DIST _____
Terminal Disclaimer Filed

☐ PET. _____
Petition

| | | | | | |
|--|--------------|---|-----------|---|------|
| US/ Application no. (if known, see 37 CFR 1.1) 107 069431 | | INTERNATIONAL APPLICATION NO. PCT/US00/13379 | | ATTORNEY'S DOCKET NUMBER 14538A-004510US | |
| 21. <input checked="" type="checkbox"/> The following fees are submitted: | | | | CALCULATIONS PTO USE ONLY | |
| BASIC NATIONAL FEE (37 CFR 1.492(A) (1) - (5)): | | | | | |
| Neither international preliminary examination fee (37 CFR 1.492) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$ | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search report prepared by the EPO of JPO \$ | | | | | |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ | | | | | |
| International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)(4) \$100.00 | | | | | |
| ENTER APPROPRIATE BASIC FEE AMOUNT = | | | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$100.00 | |
| | | | | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 26 - 20 = | 6 | x \$18.00 | \$108.00 | |
| Independent claims | 4 - 3 = | 1 | x \$84.00 | \$ 84.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | | + 280.00 | \$ 0 |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$422.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$211.00 | |
| | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$211.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.2(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$211.00 | |
| | | | | Amount to be refunded: | |
| | | | | \$ | |
| | | | | charged: | |
| | | | | \$211.00 | |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed. b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>20-1430</u> in the amount of <u>\$211.00</u> to cover the above fees. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>20-1430</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | |
| Brian W. Poor Townsend and Townsend and Crew Two Embarcadero Center, 8 th Floor San Francisco, CA 94111 | | | | | |
|  SIGNATURE | | | | | |
| <u>Brian W. Poor</u> NAME | | | | | |
| <u>32,928</u> REGISTRATION NUMBER | | | | | |

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JC13 Rec'd PCT/PTO 19 FEB 2002
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PCT Application No.: PCT/US00/22891
International Filing Date: 18 August 2000
Applicant: David M. Hockenberry *et al.*
Our File: 14538A-004610US
Documents Enclosed: Transmittal Letter, WO 01/14365 A1, Sequence Diskette
Express Mail No. EU265741395US
Date of Deposit: February 19, 2002

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee," service under 37 CFR § 1.10 on the date indicated above, with sufficient postage and in an envelope addressed to Box Sequence Listing, Assistant Commissioner for Patents, Washington, DC 20231.

TOWNSEND and TOWNSEND and CREW LLP

By: Wendy Walton
Wendy Walton

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